

COMMON APPLICATION FORM FOR EQUITY, INDEX (EQUITY) AND HYBRID SCHEMES

(OCBs ARE NOT ALLOWED TO INVEST IN UNITS OF ANY OF THE SCHEMES OF UTI MF)

Sr.No. 2023/

TIME STAMP

Registrar Sr. No.

(Please read instructions carefully before filling the form and use **BLOCK LETTERS** only)

[Fields Marked with (*) must be Mandatorily filled in]

DISTRIBUTOR INFORMATION (only empanelled Distributors/Brokers will be permitted to distribute Units) (refer instruction 'h')						BDA / CA Code
ARN/RIA Code*	Name of Financial Advisor	Sub ARN Code	Sub Code/ Bank Branch Code	M O Code	EUI No.*	UTI RM No.
ARN-257030					E-479794	

* By mentioning RIA code, I/we authorise you to share with the Investment Adviser the details of my/our transactions.

Upfront commission shall be paid directly by the investor to the AMFI / NISM certified UTI MF registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

@ I/We confirm that the EUIN box is intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the distributor personnel concerned or notwithstanding the advice of in-appropriateness, if any, provided by such distributor personnel and the distributor has not charged any advisory fees for this transaction. ☐ Please tick and sign below when EUIN box is left blank (refer instruction 'w').

Signature of 1st Applicant / Guardian	Signature of 2nd Applicant	Signature of 3rd Applicant
TRANSACTION CHARGES TO BE PAID TO THE DISTRIBUTOR (Please tick any one of the below) (Refer instruction 'i')		
<input type="checkbox"/> I AM A FIRST TIME INVESTOR IN MUTUAL FUNDS <input type="checkbox"/> I AM AN EXISTING INVESTOR IN MUTUAL FUNDS		
₹ 150 will be deducted as transaction charges per Subscription of ₹ 10,000 and above. OR ₹ 100 will be deducted as transaction charges per Subscription of ₹ 10,000 and above.		
Existing Unit Holder information : If you have an existing Folio No. with PAN & KYC validation, mention your Folio No. :		

APPLICANT'S PERSONAL DETAILS	* Denotes Mandatory Fields
<input type="checkbox"/> Mr. <input type="checkbox"/> Ms. <input type="checkbox"/> Mrs. <input type="checkbox"/> M/s	
Name of First Applicant	
<div> <div> <div>F</div> <div>I</div> <div>R</div> <div>S</div> <div>T</div> </div> <div> <div>M</div> <div>I</div> <div>D</div> <div>D</div> <div>L</div> <div>E</div> </div> <div> <div>L</div> <div>A</div> <div>S</div> <div>T</div> </div> </div>	
Date of Birth	Mandatory for minors

Status of First/ Sole Applicant [Please tick (✓)]: ☐ Individual ☐ Non-Individual
(Please attach FATCA, CRS & Ultimate Beneficial Ownership (UBO) Self Certification Form (Mandatory)) (Refer instruction z & aa)

NAME IN FULL OF THE FATHER (OR) MOTHER / GUARDIAN (IN CASE OF MINOR) \$\$ / CONTACT PERSON FOR INSTITUTIONAL APPLICANTS
<input type="checkbox"/> Mr. <input type="checkbox"/> Ms. <input type="checkbox"/> Mrs.
<div> <div>F</div> <div>I</div> <div>R</div> <div>S</div> <div>T</div> </div> <div> <div>M</div> <div>I</div> <div>D</div> <div>D</div> <div>L</div> <div>E</div> </div> <div> <div>L</div> <div>A</div> <div>S</div> <div>T</div> </div>

\$\$ Proof of date of birth and proof of relationship with minor to be attached or else sign the declaration on the reverse (Refer instruction 'f').

*PAN/PEKRN\$ OF 1 st APPLICANT/FATHER/MOTHER/GUARDIAN	Enclosed <input type="checkbox"/> PAN/PEKRN CARD/ID PROOF COPY
CKYC ID	Enclosed <input type="checkbox"/> Know Your Customer (KYC)* Acknowledgement Copy

First Applicant's Address (Do not repeat the name) Name & Address of resident relative in India (for NRIs) (P.O. Box No. is not sufficient)
Village/Flat/Bldg./Plot*
Street/Road/Area/Post
City/Town*
State
Pin*

OVERSEAS ADDRESS (Overseas address is mandatory for NRI / FPI applicants in addition to mailing address in India)
City*
State
Country*
Zip/Pin*

DETAILS OF OTHER APPLICANTS
Mode of Holding: <input type="checkbox"/> Joint <input type="checkbox"/> Anyone or Survivor (Default - Joint holding)

Name of 2nd Applicant <input type="checkbox"/> Mr. <input type="checkbox"/> Ms. <input type="checkbox"/> Mrs.	Date of Birth of 2nd Applicant
<div> <div>F</div> <div>I</div> <div>R</div> <div>S</div> <div>T</div> </div> <div> <div>M</div> <div>I</div> <div>D</div> <div>D</div> <div>L</div> <div>E</div> </div> <div> <div>L</div> <div>A</div> <div>S</div> <div>T</div> </div>	

*PAN/PEKRN\$ OF 2nd APPLICANT Enclosed ☐ PAN/PEKRN CARD/ID PROOF COPY

CKYC ID Enclosed ☐ Know Your Customer (KYC)* Acknowledgement Copy

Name of 3rd Applicant <input type="checkbox"/> Mr. <input type="checkbox"/> Ms. <input type="checkbox"/> Mrs.	Date of Birth of 3rd Applicant
<div> <div>F</div> <div>I</div> <div>R</div> <div>S</div> <div>T</div> </div> <div> <div>M</div> <div>I</div> <div>D</div> <div>D</div> <div>L</div> <div>E</div> </div> <div> <div>L</div> <div>A</div> <div>S</div> <div>T</div> </div>	

*PAN/PEKRN\$ OF 3rd APPLICANT Enclosed ☐ PAN/PEKRN CARD/ID PROOF COPY

CKYC ID Enclosed ☐ Know Your Customer (KYC)* Acknowledgement Copy

\$ Required for MICRO Investment upto ₹ 50,000/- (refer instruction 'q')

PAYMENT DETAILS (Refer Instruction 'y') (Please ensure that the cheque complies to the CTS 2010 standard)#Cheque/DD/NEFT/RTGS Ref. No.
/ Unique Serial No. (For Cash)Cash ☐ Account type (please ✓) Savings ☐ Current ☐ NRE ☐
NRO ☐ DD issued from abroad ☐

Account No.

Date

Amt. of investment (i)

Bank

DD Charges if any (ii)

Branch

Net amount paid (i-ii)

Amt. in words

☐ UTI Smart Form if already registered (Applicable for existing investors)

Please mention the application No. on the reverse of the cheque / DD, NEFT / RTGS advice. Cheque / DD must be drawn in favour of "The Name of the Scheme" & crossed "A/c Payee Only"

* Investment amount shall be ₹ 2 lacs and above in case of payments through RTGS.

BANK PARTICULARS OF 1ST APPLICANT (Mandatory as per SEBI Guidelines)

Bank Name		Branch	
Address		MICR Code	
City	*Pin	(this is a 9-digit number next to your cheque number)	
Account type (please ✓) <input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRO <input type="checkbox"/> NRE		IFS Code	
Account No.		(this is a 11-digit number)	

INVESTMENT DETAILS (PLEASE USE SEPARATE FORM FOR EACH SCHEME)**Equity Schemes:**

- ☐ UTI Large Cap Fund
☐ UTI Large & Mid Cap Fund
☐ UTI Flexi Cap Fund
☐ UTI Focused Fund
☐ UTI Mid Cap Fund
☐ UTI Small Cap Fund
☐ UTI Value Fund
☐ UTI Dividend Yield Fund
☐ UTI ELSS Tax Saver Fund
☐ UTI India Consumer Fund

- ☐ UTI Infrastructure Fund
☐ UTI Innovation Fund
☐ UTI MNC Fund
☐ UTI Banking and Financial Services Fund
☐ UTI Healthcare Fund
☐ UTI Transportation and Logistics Fund

Index (Equity) Schemes:

- ☐ UTI S&P BSE Sensex Index Fund
☐ UTI Nifty 50 Index Fund
☐ UTI Nifty 50 Equal Weight Index Fund
☐ UTI Nifty Next 50 Index Fund

- ☐ UTI Nifty 500 Value 50 Index Fund
☐ UTI Nifty 200 Momentum 30 Index Fund
☐ UTI S&P BSE Low Volatility Index Fund
☐ UTI Nifty Midcap 150 Quality 50 Index Fund
☐ UTI S&P BSE Housing Index Fund

Hybrid Schemes:

- ☐ UTI Arbitrage Fund
☐ UTI Conservative Hybrid Fund
☐ UTI Equity Savings Fund
☐ UTI Balanced Advantage Fund
☐ UTI Multi Asset Allocation Fund
☐ UTI Aggressive Hybrid Fund

PLAN (For All Schemes) ☐ Regular Plan ☐ Direct Plan (refer instruction 'j')**OPTION**

1. For All Schemes (except UTI Conservative Hybrid Fund and UTI Equity Savings Fund)

- ☐ Growth ☐ IDCW (Payout) ☐ IDCW (Reinvestment) [not available under UTI ELSS Tax Saver Fund, UTI Small Cap Fund, UTI Focused Fund, UTI Innovation Fund and UTI Balanced Advantage Fund]

All Index funds has only Growth option except UTI Nifty 50 Index Fund

2. For UTI Conservative Hybrid Fund ☐ Growth ☐ Monthly IDCW (Payout) ☐ Monthly IDCW (Reinvestment)
☐ Flexi IDCW (Payout) ☐ Flexi IDCW (Reinvestment) ☐ Monthly Payment (Default-Growth)
3. For UTI Equity Savings Fund ☐ Growth ☐ IDCW (Payout) ☐ IDCW (Reinvestment) ☐ Monthly IDCW (Payout)
☐ Monthly IDCW (Reinvestment) ☐ Quarterly IDCW (Payout) ☐ Quarterly IDCW (Reinvestment) (Default-Growth)

Unitholding Option ☐ Physical Mode ☐ Demat Mode (if Demat account details are provided below, units will be allotted, by default, in Electronic Mode only)**DEMAT ACCOUNT DETAILS** - Please ensure that the sequence of names as mentioned in the application form matches with that of the account held with any one of the Depository Participant. Demat Account details are compulsory if demat mode is opted above

National Securities Depository Limited	Depository Name	Central Depository Services (India) Limited	Depository Name
	DP ID No.		Target ID No.
	Beneficiary Account No.		

Enclosures: ☐ Client Master List (CML) ☐ Transaction cum Holding Statement ☐ Delivery Instruction Slip (DIS)**Friend in need details** In case UTI MF is unable to communicate with me/us at my / our registered address, I / we authorize UTI MF to correspond with the following person to ascertain my/our updated contact details. (Refer Instruction 'k')

Name	
Address	
Relationship with the applicant (optional)	Mobile
Email	

GENERAL INFORMATION - Please (✓) wherever applicable

STATUS: ☐ Resident Individual ☐ Minor through guardian ☐ HUF ☐ Partnership ☐ Trust
☐ Sole Proprietorship ☐ Society / Club ☐ Body Corporate ☐ AOP ☐ BOI
☐ FPI ☐ NRI ☐ Foreign Nationals** ☐ Listed Company ☐ LLP
☐ Unlisted 'Not for Profit'*** Company ☐ Other Unlisted Company ☐ PIO
☐ Others (Please specify) _____

^^ 'Not for Profit' Company as defined under Companies Act (Act of 1956/2013). Please attach Non-Profit Organization (NPO) Declaration Form.

** Overseas Corporate Bodies (OCBs) are not allowed to invest in units of any of the schemes of UTI MF

Note for Non-Individual Investors: Please attach FATCA, CRS & Ultimate Beneficial Ownership (UBO) Self Certification Form (Mandatory)

(Refer Instruction z & aa)

OCCUPATION: ☐ Business ☐ Student ☐ Agriculture ☐ Self-employed ☐ Professional
☐ Housewife ☐ Retired ☐ Private Sector Service ☐ Public Sector Service ☐ Government Service
☐ Forex Dealer ☐ Others (Please specify) _____

MARITAL STATUS: ☐ Unmarried ☐ Married ☐ Wedding Anniversary

OTHER DETAILS (MANDATORY)**FOR INDIVIDUALS ONLY**

1st Applicant: (A) Gross Annual Income Details Please tick (✓)
☐ Below 1 Lac ☐ 1-5 lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ >25 Lacs - 1 Crore ☐ >1 Crore
 [OR]

Net-worth in ₹ _____ (Net worth should not be older than 1 year) as on (date)

(B) Please tick if applicable: ☐ Politically Exposed Person (PEP) ☐ Related to a Politically Exposed Person (PEP)
 (For definition of PEP, please refer instruction 'x').

(C) Any other information: _____

2nd Applicant: (A) Gross Annual Income Details
☐ Below 1 Lac ☐ 1-5 lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ >25 Lacs - 1 Crore ☐ >1 Crore
 [OR]

Net-worth in ₹ _____ (Net worth should not be older than 1 year) as on (date)

(B) Please tick if applicable: ☐ Politically Exposed Person (PEP) ☐ Related to a Politically Exposed Person (PEP)

(C) Any other information: _____

3rd Applicant: (A) Gross Annual Income Details
☐ Below 1 Lac ☐ 1-5 lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ >25 Lacs - 1 Crore ☐ >1 Crore
 [OR]

Net-worth in ₹ _____ (Net worth should not be older than 1 year) as on (date)

(B) Please tick if applicable: ☐ Politically Exposed Person (PEP) ☐ Related to a Politically Exposed Person (PEP)

(C) Any other information: _____

FOR NON-INDIVIDUALS ONLY

(A) Gross Annual Income Details
☐ Below 1 Lac ☐ 1-5 lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ >25 Lacs - 1 Crore ☐ >1 Crore
 [OR]

Net-worth in ₹ _____ (Net worth should not be older than 1 year) as on (date)

(B) Is the entity involved in / providing any or the following services

- Foreign Exchange / Money Changer Services ☐ YES ☐ NO - Gaming / Gambling/Lottery Services (e.g. casinos, betting syndicates) ☐ YES ☐ NO
 - Money Lending / Pawning ☐ YES ☐ NO

(C) Any other information: _____

DETAILS UNDER FATCA (FOREIGN TAX COMPLIANCE ACT) AND CRS (COMMON REPORTING STANDARD)

(Refer Instruction '2')

Information to be provided by all Applicants in the same sequence of Names as given in this Application form

Are you a tax resident of any country other than India?

If No, please tick here: ☐ First Applicant ☐ Second Applicant ☐ Third Applicant

If Yes, please fill in the Particulars in the prescribed Form for FATCA/CRS and attach it with this Application Form.



Haq, a.k. behtar aindagi ka.

ACKNOWLEDGEMENT

(To be filled in by the Applicant)

Sr. No. 2023/

[Investment in UTI ELSS Tax Saver Fund is eligible for deduction under section 80C of the Income Tax Act, 1961]

Received from Mr / Ms / M/s

An application under

along with Cheque/DD/NEFT/RTGS

Ref. No./Unique Serial No. (For Cash)

Drawn on (Bank)

for ₹ (in figures)

(scheme name)

dated

Stamp of UTI AMC Office/
Authorised Collection Centre

* Cheques and drafts are subject to realisation.

NOMINATION DETAILS (Please ✓) (please sign if you do not wish to nominate) Not Applicable in case of Investment from Minors

☐ I/We hereby nominate the undermentioned Nominee to receive the amounts to my / our credit in the event of my / our death. I/We also understand that all payments and settlements made to such Nominee and signature of the Nominee acknowledging receipt thereof, shall be a valid discharge by the AMC / Mutual Fund / Trustee.

	Nominee 1	Nominee 2	Nominee 3
Name of Nominee			
Name of the Guardian (in case Nominee is Minor)			
Percentage of Allocation*			
Relationship with Nominee			
Date of Birth (Mandatory if Nominee is Minor)			
Proof of Identity	<input type="checkbox"/> PAN <input type="checkbox"/> Aadhaar <input type="checkbox"/> Others _____	<input type="checkbox"/> PAN <input type="checkbox"/> Aadhaar <input type="checkbox"/> Others _____	<input type="checkbox"/> PAN <input type="checkbox"/> Aadhaar <input type="checkbox"/> Others _____
Identification Number*			
Signature of Nominee/ Guardian (Mandatory in case of Minor Nominee)			

*Mandatory if more than one Nominee and its aggregate should be 100% (Decimals not allowed) *If the proof of identity is Aadhaar, provide last 4 digits only

☐ I / We hereby confirm that I / We do not wish to appoint any nominee(s) for my mutual fund units held in my / our mutual fund folio and understand the issues involved in non appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents issued by Court or other such competent authority, based on the value of assets held in the mutual fund folio.

Sign here
↓

Signature of 1st Applicant / Guardian

Signature of 2nd Applicant

Signature of 3rd Applicant

DECLARATION AND SIGNATURE OF APPLICANT/s

• I/We have read and understood the contents of the Scheme Information Document, Statement of Additional Information and Key Information Memorandum, addenda issued till date and apply to the Trustee of UTI Mutual Fund as indicated above. I/We agree to abide by the terms and conditions, rules and regulations of the scheme as on the date of investment. I/We undertake to confirm that this investment has been duly authorised by appropriate authorities in terms of all relevant documents and procedural requirements. • I/We have not received nor been induced by any rebate or gifts, directly or indirectly in making investments. • The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. • I/We hereby authorize UTI MF/UTI AMC to share my data furnished in the Form to my distributor and other service providers of the UTI MF for the purpose of servicing, issue of account statement/consolidated statement of account etc and cross selling of products/schemes of the UTI MF. • I/We confirm that we are Non-Residents of Indian Nationality/Origin and that the funds are remitted from abroad through approved banking channels or from my / our NRE / NRO Account. I/We undertake to provide further details of source of funds and any such other relevant documents, if called for by UTI Mutual Fund (Applicable to NRIs). • I hereby solemnly declare that I am the father/mother/guardian of the minor child in whose name the application is made. The date of birth stated by me is true and correct. • I/We wish to receive E-mail and SMS communication from UTI AMC/ UTI MF.

OPTION FOR DESPATCH OF STATEMENT OF ACCOUNT (SoA) / ABRIDGED ANNUAL REPORT (AAR)=

☐ SoA in Physical Form ☐ AAR in Physical Form

Applicable to NRIs: ☐ At my Overseas address as mentioned above ☐ To be despatched to my resident relative's address in India as mentioned above

On providing email-id investors shall receive scheme wise annual report or an abridged summary thereof; account statements; transaction confirmation; communication of change of address, change of bank details etc. through email only.

First Applicant Details	*Mobile No.	Tel. (R)	Tel. (Q)
	*E-mail		
	Alternate E-mail		

*If the Mobile Number or Email ID belongs to a family member please fill-in below details of the family member:

For E-mail ID		For Mobile Number	
Name of the family member		Name of the family member	
Relationship		Relationship	
PAN		PAN	
Folio Number		Folio Number	

Please note that as per the existing regulatory guidelines, the contact details can only be of self or any of the Family members. Family members mean spouse, dependent children, dependent siblings, dependent parents, and a guardian in case of a minor

I/We hereby authorize UTI AMC/ UTI MF to send important information, transaction updates and/or any other relevant details to me/us on WhatsApp number. If you DO NOT wish to receive communication on WhatsApp, tick the box ☐

Sign here
↓

Signature of 1st Applicant / Guardian / POA^{^^}

Signature of 2nd Applicant / POA^{^^}

Signature of 3rd Applicant / POA^{^^}

Name of 1st Authorised Signatory

Name of 2nd Authorised Signatory

Name of 3rd Authorised Signatory

Designation _____ Designation _____ Designation _____

^{^^}Power of Attorney (POA) Registration No. _____ (if already registered) (refer instruction 'ab')

Notes :

- If the application is incomplete and any other requirement is not fulfilled, the application is liable to be rejected.
- Consolidated Account Statement (CAS) will be sent within 10 days of the following month of the transaction.
- Please ensure that all KYC Compliance Proof and PAN details are given, failing which your application will be rejected. PAN not applicable for Micro SIP.
- All communication relating to issue of Statement of Account, Change in name, Address or Bank particulars, Nomination, Redemption, Death Claims etc., may please be addressed to the Registrar :

M/s Kfin Technologies Limited; Unit : UTIMF, Selenium Tower B, Plot Nos. 31 & 32, Financial District, Nanakramguda, Serilingampally Mandal, Hyderabad - 500032 | India Board: 040-6716 2222, Fax no: 040-6716 1888, Email: uti@kfinetech.com

NPCI National Payments Corporation of India		UMRN											Date	DD MM YY			
Utility Code												<input checked="" type="checkbox"/> Create <input type="checkbox"/> Modify <input type="checkbox"/> Cancel					
Sponsor Bank Code												I/We authorize UTI MUTUAL FUND					
To debit (tick✓)		SB / CA / CC / SB-NRE / SB-NRO / OTHER										Bank a/c number					
with Bank												IFSC / MICR					
an amount of Rupees												₹					
Debit Type		<input checked="" type="checkbox"/> Fixed Amount <input checked="" type="checkbox"/> Maximum Amount Frequency <input checked="" type="checkbox"/> Monthly <input checked="" type="checkbox"/> Quarterly <input checked="" type="checkbox"/> Half Yearly <input checked="" type="checkbox"/> Yearly <input checked="" type="checkbox"/> As & when presented															
Reference 1												Reference 2					
1. I agree for the debit of mandate processing charges by the bank where I am authorizing to debit my account as per latest schedule of charges of the bank. 2. This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorizing the user entity / Corporate to debit my account, based on the instructions as agreed and signed by me. 3. I have understood that I am authorized to cancel/amend this mandate by appropriately communicating the cancellation / amendment request to the user entity / corporate or the bank where I have authorized the debit.																	
From		DD MM YY YY															
To		DD MM YY YY															
Phone No.		1. _____										2. _____					
		3. _____															

This is to confirm that the declaration has been carefully read, understood & made by me / us. I am authorizing the User entity/ Corporate to debit my account based on the instructions as agreed and signed by me. I have understood that I am authorized to cancel/amend this mandate by appropriately communicating the cancellation/amendment request to the User entity/ corporate or the bank where I have authorized the debit.

UTI UTI Mutual Fund Har, ek behtar zindagi ka		UTI SMaRT SIP Form™				<input type="checkbox"/> Registration of New SIP <input type="checkbox"/> Renewal of Existing SIP <input type="checkbox"/> Micro SIP <input type="checkbox"/> Change in Existing Bank Details
ARN / RIA	EUIN	Sub ARN Code	Sub Code	MO Code	UTI RM No.	
ARN-257030	E 479794					

Upfront commission shall be paid directly by the investor to the AMFI / NISM certified UTI MF registered distributors based on the investors' assessment of various factors including the service rendered by the distributor. I/We confirm that the EUIN box is intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the distributors personnel concerned or not withstanding the advice of in-appropriateness, if any, provided by such distributor personnel and the distributor has not charged any advisory fees for this transaction.

APPLICANT DETAILS		APPLICATION NO./FOLIO NO.									
Name of Sole / 1st Holder / Beneficiary Child											
Name of Guardian (in case of Minor)											
PAN DETAILS		(If not registered in the folio already)									
First Applicant/Guardian		Second Applicant					Third Applicant				
Mandatory Enclosure		Mandatory Enclosure					Mandatory Enclosure				
<input type="checkbox"/> PAN Proof <input type="checkbox"/> KYC Complied		<input type="checkbox"/> PAN Proof <input type="checkbox"/> KYC Complied					<input type="checkbox"/> PAN Proof <input type="checkbox"/> KYC Complied				
PAN Exempt KYC Ref no. (PEKRN for Micro investments)		PAN Exempt KYC Ref no. (PEKRN for Micro investments)					PAN Exempt KYC Ref no. (PEKRN for Micro investments)				

SIP DETAILS											
Scheme Name, Plan, Option	SIP Date	Instalment Amount	Frequency	SIP Period* (MM/YY)	SIP Step Up						
	DD DD	<input type="checkbox"/> 5000 <input type="checkbox"/> 10000 <input type="checkbox"/> 25000 OR ₹ _____	<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly	From MM/YY To MM/YY <input type="checkbox"/> Until cancelled	Amount In Multiple of ₹ 500/- Frequency <input type="checkbox"/> Half Yearly <input type="checkbox"/> Yearly						
	DD DD	<input type="checkbox"/> 5000 <input type="checkbox"/> 10000 <input type="checkbox"/> 25000 OR ₹ _____	<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly	From MM/YY To MM/YY <input type="checkbox"/> Until cancelled	<input type="checkbox"/> Half Yearly <input type="checkbox"/> Yearly						
	DD DD	<input type="checkbox"/> 5000 <input type="checkbox"/> 10000 <input type="checkbox"/> 25000 OR ₹ _____	<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly	From MM/YY To MM/YY <input type="checkbox"/> Until cancelled	<input type="checkbox"/> Half Yearly <input type="checkbox"/> Yearly						
Amount in the mandate to bank should be equal or more than this total amount.		Total	₹	* Note : SIP mandate shall be registered for a maximum period of upto 30 years							

My Financial Goal for this SIP (choose anyone)

☐ Retirement Corpus
 ☐ Child Education
 ☐ Child Marriage
 ☐ Dream Car
 ☐ Dream House
 ☐ Marriage
 ☐ Holiday

(In case of saving for Child, mention name of Child)

I/We hereby authorize UTI Mutual Fund and their authorized service providers and my banker, to debit my/our bank account using the Mandate Form, if the transaction is delayed or not effected at all for reason of incomplete or incorrect information or other reasons, I/We would not hold UTI Mutual Fund responsible. I/We will also inform UTI Mutual Fund, about any changes in my bank account. I/We have read and understood the contents of the SA, SID, KIM, Instructions and Addenda issued from time to time of the respective Scheme(s) of UTI Mutual Fund, have read and agreed to the instructions, terms and conditions of SIP/Micro SIP. I/We do not have any existing Micro SIPs which together with the current application will result in aggregate investment exceeding ₹ 50,000 in a year (applicable only for Micro SIP applicants). The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Scheme of various Mutual Fund from amongst which the Scheme is being recommended to me/us. I/We hereby authorize UTI Mutual Fund to share my data furnished in the Form with other service providers of the UTI Mutual Fund for the purpose of servicing, issue of account statement, consolidated statement of account, etc and cross selling of products/scheme of the UTI Mutual Fund. I/We hereby request you to register me/us for availing the facility and the carrying out transactions of Purchase/SIP/Redemption/Switch in my/our above mentioned folio wherever applicable. I/We have read and understood the Terms & Conditions of the facility in which I/We wish to subscribe as available on UTI MF website (<http://www.utimf.com/customer-service/Pages/default.aspx>) and also displayed/available at the UFC wherever applicable.

By Signing this SIP enrolment form I/We understand, that the amount will be debited from the Bank account mentioned in SIP Mandate. (Should be signed as per mode of holding in the folio)

1st Unit Holder / Guardian	2nd Unit Holder	3rd Unit Holder
----------------------------	-----------------	-----------------

Unit Holding Option : ☐ Demat Mode ☐ Physical Mode

DEMAT ACCOUNT DETAILS: (Please ensure that the sequence of name as mentioned in the application form matches with that of the account held with any one of the Depository Participant. Demat Account details are compulsory if demat mode is opted below. Investor client ID should be printed in proof.)

Central Depository Securities Limited	Depository participant Name _____ Target ID _____	National Securities Depository Limited	Depository participant Name _____ DP ID No. _____ Target ID _____
Proof enclosed (Any one) <input type="checkbox"/> Client Master List (CML) <input type="checkbox"/> Transaction cum Holding Statement <input type="checkbox"/> Cancelled Delivery Instruction Slip (DIS) <input type="checkbox"/>			



Haq, ek behtar zindagi ka.

UTI SmaRT SIP FormTM

For Post Dated Cheque (Only CTS - 2010 compliant cheques are allowed)

- ☐ Registration of SIP
☐ Renewal of SIP
☐ Micro SIP
☐ Salary Saving SIP
☐ Change in Bank Details

ARN / RIA	EUIN	Sub ARN Code	Sub Code	MO Code	UTI RM No.

☐ Upfront commission shall be paid directly by the investor to the AMFI / NISM certified UTI MF registered distributors based on the investors' assessment of various factors including the service rendered by the distributor. I/We confirm that the EUIN box is intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the distributors personnel concerned or not withstanding the advice of in-appropriateness, if any, provided by such distributor personnel and the distributor has not charged any advisory fees for this transaction.

APPLICANT DETAILS		APPLICATION NO./FOLIO NO.	
Name of Sole / 1st Holder / Beneficiary Child			
Name of Guardian (in case of Minor)			

PAN DETAILS		(If not registered in the folio already)	
First Applicant/Guardian		Second Applicant	
Mandatory Enclosure		Mandatory Enclosure	
<input type="checkbox"/> PAN Proof <input type="checkbox"/> KYC Complied		<input type="checkbox"/> PAN Proof <input type="checkbox"/> KYC Complied	
PAN Exempt KYC Ref no (PEKRN for Micro investments)		PAN Exempt KYC Ref no (PEKRN for Micro investments)	

DETAILS OF SIP (For "DIRECT PLAN" please tick here <input type="checkbox"/> & write the Scheme Name, Plan/Option below)			
Scheme	UTI	PLAN	OPTION
Initial Investment Amount (₹)		Each SIP/Micro SIP Amount (₹) # (Default amount is ₹ 300)	
SIP / Micro SIP Date (Please tick)	01 07 15 25	Frequency: <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly	Post Dtd. Chq. Amt. (₹)
SIP / Micro SIP Period: Start from	M M Y Y	End On	M M Y Y
Cheque Nos. From		To	
Account No.		Drawn on	
Branch		Pin Code	
Mandatory Enclosure (if 1 st instalment is not by cheque) (I/We have attached PAN card/Document copies of all applicants.		<input type="checkbox"/> Bank cancelled cheque	<input type="checkbox"/> Copy of cheque

1st Unit Holder / Guardian

2nd Unit Holder

3rd Unit Holder